Docket No.: 5288-0101PUS1

(PATENT)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Selvanathan NARAINSAMY et al.

Application No.: 10/562,672

Confirmation No.: 8276

Filed: December 29, 2005

Art Unit: N/A

For: TRANSACTION VERIFICATION SYSTEM

Examiner: Not Yet Assigned

### **LETTER**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Subsequent to the filing of the above-identified application on December 29, 2005, attached hereto is an English translation of the International Preliminary Examination Report (Form PCT/IPEA/409) that should be made of record in the present application.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or to credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under 37 C.F.R. § 1.17; particularly, extension of time fees.

Dated: June 12, 2006

Respectfully submitted,

James M. Slattery

Registration No.: 28,380

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Attorney for Applicant

## PATENT COOPERATION TREATY

From the INTERNATIONAL BUREAU

## PCT

NOTIFICATION CONCERNING
TRANSMITTAL OF COPY OF INTERNATIONAL
PRELIMINARY REPORT ON PATENTABILITY
(CHAPTER I OF THE PATENT COOPERATION
TREATY)

(PCT Rule 44bis.1(c))

To:

PFT BURGER, PATENT & TRADE MARK ATTORNEYS 10 Mount Argus Road Umgeni Heights Durban KwaZulu-Natal AFRIQUE DU SUD

Date of mailing (day/month/year)
12 January 2006 (12.01.2006)

Applicant's or agent's file reference PCT.3870.RAD

IMPORTANT NOTICE

International application No. PCT/ZA2004/000072

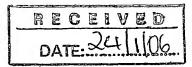
International filing date (day/month/year) 30 June 2004 (30.06.2004)

Priority date (day/month/year) . 30 June 2003 (30.06.2003)

Applicant

NARAINSAMY, Selvanathan

The International Bureau transmits herewith a copy of the international preliminary report on patentability (Chapter I of the Patent Cooperation Treaty)



The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer

Simin Baharlou

Facsimile No.+41 22 740 14 35

Facsimile No.+41 22 338 71 30

## PATENT COOPERATION TREATY

# **PCT**

# INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Chapter I of the Patent Cooperation Treaty)

(PCT Rule 44bis)

Applicant's or agent's file reference PCT.3870.RAD	FOR FURTHER ACTION	See item 4 below	
International application No. PCT/ZA2004/000072	International filing date (day/month/year) 30 June 2004 (30.06.2004)	Priority date (day/month/year) 30 June 2003 (30.06.2003)	
International Patent Classification (8th edition unless older edition indicated) See relevant information in Form PCT/ISA/237			
Applicant NARAINSAMY, Selvanathan			

1.	This international preliminary report on patentability (Chapter I) is issued by the International Bureau on behalf of the International Searching Authority under Rule 44 bis.1(a).			
2.	In the attached sheets, any reference to the written opinion of the International Searching Authority should be read as a reference			
	to the international preliminary re	eport on patentability (Chapter I) instead.		
3.	3. This report contains indications relating to the following items:			
	Box No. I	Basis of the report		
	Box No. II	Priority		
	Box No. III	Non-establishment of opinion with regard to novelty, inventive step and industrial applicability		
	Box No. IV	Lack of unity of invention		
	Box No. V	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement		
	Box No. VI	Certain documents cited		
	Box No. VII	Certain defects in the international application		
	Box No. VIII	Certain observations on the international application		
4.	4. The International Bureau will communicate this report to designated Offices in accordance with Rules 44bis.3(c) and 93bis.1 but not, except where the applicant makes an express request under Article 23(2), before the expiration of 30 months from the priority date (Rule 44bis.2).			

	Date of issuance of this report 03 January 2006 (03.01.2006)
The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer Simin Baharlou
Facsimile No. +41 22 740 14 35	Telephone No. +41 22 338 71 30

Form PCT/IB/373 (January 2004)

## PATENT COOPERATION TREATY

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WIPO			PCT

From	the		
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INTERNATIONAL SEARCHING AUTHORITY

To:			ICI
PIETER FRANCOIS THERON BURGER  PIETER FRANCOIS THERON BURGER  TRADEMARK ATTORNEYS			
10 MOUNT ARGUS ROAD, UMGERT	HEIGHS, DURBAN	WRIT	TTEN OPINION OF THE
P O BOX 546, DURBAN 4001		INTERNATIONAL SEARCHING AUTHORITY	
KWAZULU-NATAL, SOUTH AFRICA			(PCT Rule 43bis.1)
			03 NOV 2005
		Date of mailing (day/month/year)	0 110 1 2000
		FOR FURTHER A	ACTION
Applicant' s or agent' s file reference		S	See paragraph 2 below
PCT.3870.RAD	International filing date	(day/month/year)	Priority date (day/month/year)
International application No.	International filing date	, (au), memo	
PCT/ZA04/00072	30 June 2004 (30.06.20	004)	30 June 2003 (30.06.2003)
PCT/ZA04/00072 International Patent Classification (IPC)	or both national classific	ation and IPC	
IPC(7): G06F 17/60 and US Cl.: 705/64			
Applicant			
NARAINSAMY, SELVANATHAN			
1. This opinion contains indications re	lating to the following ite	ems:	·
Box No. I Basis of th	e opinion		
Box No. II Priority			
Box No. III Non-estab	inventive step and industrial applicability		
Box No. IV Lack of u	Box No IV Lack of unity of invention		
	-tetement under Rule 43	bis.1(a)(i) with regard	to novelty, inventive step or industrial
Box No. V Reasoned applicabil	Box No. V  Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement		
DOX 1.0. 12	ocuments cited		
1	efects in the international		
Box No. VIII Certain o	bservations on the interna	ational application	
International Preliminary Examination Authority other than this one to the that written opinions of this International Preliminary Example 1997 (1997).	be the IPEA and the chos mational Searching Author	sen IPEA has notified rity will not be so cons	
mailing of Form PCT/ISA/220 o.	r before the expiration of	written opinion of the amendments, before f 22 months from the p	IPEA, the applicant is invited to submit to the the expiration of 3 months from the date of priority date, whichever expires later.
For further options, see Form PC	J1/18A/220.		
3. For further details, see notes to I			Authorized officer
Name and mailing address of the ISA	1	mpletion of this	ha sistem
Mail Stop PCT, Attn: ISA/US	opinion		James Trammell
Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-14	27 Septem	lber 2005 (27.09.2005)	Telephone No. 571-272-3600
Alexandria, Viginia 223.1			

Facsimile No. (571) 273-3201
Form PCT/ISA/237 (cover sheet) (April 2005)

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No.	
PCT/ZA04/00072	

D. N. I. Pocis of this opinion
Box No. I Basis of this opinion
1. With regard to the language, this opinion has been established on the basis of:
- the learning in which it was filed
the international application in the language in which is the language of a translation furnished for the purposes of a translation of the international application into, which is the language of a translation furnished for the purposes of international search (Rules 12.3(a) and 23.1(b)).
2. With regard to any nucleotide and/or amino acid sequence disclosed in the international application and necessary to the claimed invention, this opinion has been established on the basis of:
a. type of material
a sequence listing
table(s) related to the sequence listing
b. format of material
on paper
in electronic form
c. time of filing/furnishing
contained in the international application as filed.
filed together with the international application in electronic form.
furnished subsequently to this Authority for the purposes of search.
In addition, in the case that more than one version or copy of a sequence listing and/or table(s) relating thereto has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.
4. Additional comments:
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## WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/ZA04/00072

II I I I I I I I I I I I I I I I I I I		L	
Box No. V Reasoned statement under Rule 43 bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement			
applicability; chaudis and cap	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		
1. Statement  Novelty (N)	Claims <u>1-16</u> Claims <u>NONE</u>		
Inventive step (IS)	Claims <u>1-16</u> Claims <u>NONE</u>	YES NO	
Industrial applicability (IA)	Claims 1-16 Claims NONE		

## 2. Citations and explanations:

Claims 1-16 meet the criteria set out in PCT Article 33(2)-(3), because the prior art does not teach or fairly suggest the telecommunications client being programmed to require the entry of an authorization code into the telecommunications client as a precondition for further processing of the transaction authorization request, and the telecommunications client transmits a process outcome message to either or both the transaction processing server and the transaction processing client, which process outcome message: if the incorrect authorization code in entered, is constituted by a transaction cancellation signal; and if the correct authorization code is entered, is constituted by a transaction authorization signal.

## WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No.

PCT/ZA04/00072

## Box No. VIII Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the questions whether the claims are fully supported by the description, are made:

The drawings are objected to under PCT Rule 66.2(a)(v) as lacking clarity under PCT Article 7 because: the last figure (9/9) should be deleted because this figure is neither labeled nor mentioned in the specification, and the content of this figured is duplicated with Fig. 8.

Claims 3-7 are objected to under PCT Rule 66.2(a)(v) as lacking clarity under PCT Article 6 because claims 3-7 indefinite for the following reason(s): the claims refer back to "either of the preceding claims" or "any one of the preceding claims. The applicant needs to be precise which particular claim it is referred to.